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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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OMB control number.				
DECLARATION	FOR UTILITY OR	Attorney Docket Number	12636-304.201	
		First Named Inventor	Howard Sands	
PATENT A	PPLICATION	COMPLE	TE IF KNOWN	
PATENT A GATE GA	FR 1.63)	Application Number	10/698,983	
Submitted	Declaration Submitted after Initial	Filing Date	October 31, 2003	
with Initial Filing	Filing (surcharge (37 CFR 1.16(e))	Group Art Unit	1614	
i iiiig	required)	Examiner Name	Unassigned	

	As a below named Invent	or, I hereby de	eclare t	that:								
	My residence, post office address, and citizenship are as stated below next to my name.											
	I believe I am the origina names are listed below) o											
				ICAL FORMULAT S OF THE GASTI								
	(Title of the Invention)											
	the specification of which is attached hereto OR											
	■ Was filed on (MM/DD/YYYY) ■ 10/31/2003 ■ as United States Application Number or PCT International											
F	Application Number 10/698,983 and was amended on (MM/DD/YYYY) [(if applicable).											
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.												
ı	I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.											
P	hereby claim foreign prio certificate, or 365(a) of an America, listed below and h or of any PCT international	y PCT interna nave also ider	ational Itified t	application which de- below, by checking the	signated at lease box, any foreig	it one country in application	other than the for patent or inve	United States of				
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	Additional foreign applic	ation number	s are li	sted on a supplementa	al priority data sh	neet PTO/SB/0	28 attached here	eto:				
	hereby claim the benefit u		. 119(h			lication(s) liste	d below.					
	Application Number	r(s)		Filing Date (MM/DD	/YYYY)	-						
	60/423,179			10/31/2002		Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.						

(Page 1 of 2)

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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of the application is not designated in the prior United States or PCT international application in the manner provided by the first paragraph of 31 U.S.C. 112. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

I acknowledge the between the filing	duty to	disclose informa	ation w	hich is ı	material t	o pat	entabilit nternatio	y as d nal fili	efined ng dat	in 37 C e of this	OFR 1.56 application	which b	ecame available
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Additional U.S.	or PCT	international appl	cation r	numbers	are listed	on a	supplem	ental p	oriority	data she	eet PTO/SI	3/028 att	ached hereto.
As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Customer Number 021971 Place Customer Number Bar Code Label here										Place Customer Number Bar			
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<u> </u>	lame			Num		\perp	Name					<u> </u>	Number
Additional regis	Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.												
Direct all correspond	Direct all correspondence to: ☐ Customer Number or Bar Code Label												
Name	Shirle	y Chen, Ph.D.											
Address	Wilso	n Sonsini Goodri	ch & Ro	osati									
Address	650 P	age Mill Road											
City	Palo /	Alto					State	С	Α	ZIP	94304		
Country	U.S.		Tele	phone	650-	493-9	9300			Fax	650-493	-6811	
I hereby declare the believed to be true; punishable by fine application or any p	; and fur or impri	rther that these st sonment, or both,	atement	ts were	made with	n the	knowled	ge tha	t willfu	false st	tatements	and the	like so made are
Name of Sole	or Fir	st Inventor:	_			A p	etition ł	nas be	en file	ed for th	nis unsigi	ned inve	entor
Giver	Name	(first and middl	e (if an	у)					Fam	ily Nam	e or Surr	ame	
		Howard	^							Sa	ands		
Inventor's Signat	ure	h	k								Date	y	120/04
Residence: City		Wilmington	S	tate	DE		Coun	try		US	Citize	nship	us
Post Office Addre	ess	2417 Landon I	Drive, V	Vilming	gton, DE	1981	10						
Post Office Addre	ess												
City		Wilmington	S	tate	DE		ZIP		198	310	Count	ry	US
Additional inve	ntors ar	e being named o	n the 1	supple	mental Ad	dditio	nal Inve	ntor(s)	sheet	(s) PTO	/SB/02A a	attached	I hereto:

PTO/SB/01 (12-97)

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DECLARATION FOR UTILITY OR	Attorney Docket Number	12636-304.201
ე DESIGN	First Named Inventor	Howard Sands
8 2004 PATENT APPLICATION	COMPLE	TE IF KNOWN
(37 CFR 1.63)	Application Number	10/698,983
Submitted OR Submitted after Initial	Filing Date	October 31, 2003
with Initial OR Filing (surcharge Filing (37 CFR 1.16(e))	Group Art Unit	1614
required)	Examiner Name	Unassigned

As a	As a below named Inventor, I hereby declare that:											
My	My residence, post office address, and citizenship are as stated below next to my name.											
l bel	I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:											
	PHARMACEUTICAL FORMULATIONS TARGETING SPECIFIC REGIONS OF THE GASTROINTESTINAL TRACT											
(Title of the Invention)												
the specification of which is attached hereto OR												
⊠ was filed on (MM/DD/YYYY)												
Applica	Application Number 10/698,983 and was amended on (MM/DD/YYYY) [(if applicable).											
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.												
I ackno	owledge the duty to d	lisclose inform	ation v	vhich is material to pat	entability as def	ined in 37 CFF	R 1.56.					
certific	ate, or 365(a) of an a, listed below and l	ly PCT interna have also iden	itional itified t	85 U.S.C. 119(a)-(d) of application which despelow, by checking the filing date before that	signated at lease box. any foreic	st one country on application	other than the	United States of				
Prior F	oreign Application Number(s)	Country		Foreign Filing Date (MWDD/YYYY)	Prio Not Cla		Certified Co YES	ppy Attached? NO				
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<u>⊔ Ad</u>	ditional foreign applic	ation numbers	are li	sted on a supplementa	al priority data sh	neet PTO/SB/0	28 attached here	eto:				
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60/423,179				Filing Date (MM/DD/ 10/31/2002	(1111)	Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.						

(Page 1 of 2)

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I acknowledge the between the filing	duty to date of	o disclose informa the prior applicati	ation wo	thich is the na	s material ational or	to pa	atentabili internati	ty as onal fi	defined	in 37 (te of this	CFR 1.56 v application	which b n.	ecame available
U.S. Pare	U.S. Parent Application or PCT Parent Number								nt Filir M/DD/\	ng Date	Pa		atent Number pplicable)
·	Additional U.S. or PCT international application numbers are listed on a												
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☐ Additional regis	Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.												
Direct all correspondence to: Customer Number or Bar Code Label OR Correspondence address below													
Name .	_Shirle	y Chen, Ph.D.											
Address	Wilso	n Sonsini Goodric	h & Ro	osati									•
Address	650 F	age Mill Road											
City	Palo A	Alto					State	C	CA	ZIP	94304		
Country	U.S.		Tele	phone	650	-493-	9300			Fax	650-493-	6811	
I hereby declare the believed to be true; punishable by fine of application or any pa	and ful or impri	rther that these sta sonment, or both,	tement	ls were	made wit	th the	knowled	ae tha	at willful	false st	atements a	nd the	like so made are
Name of Sole	or Fir	st Inventor:				Ap	etition h	as be	een file	ed for th	is unsign	ed inve	entor ·-
Given	Name	(first and middle	(if an	y)(y					Fam	lly Name	or Surna	me	
		Howard		·						Sa	nds		
Inventor's Signate	ure				·					•	Date		
Residence: City		Wilmington	St	ate	DE		Count	ry		us	Citizen	ship	us
Post Office Addre	ress 2417 Landon Drive, Wilmington, DE 19810												
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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1

<u> </u>												
Name of Additiona	l Joint Inventor,	if any:		A petition has been filed for this unsigned inventor								
Given Name	e (first and middle (i	f any)		Family Name or Surname								
	Sanjeev					F	Redkar					
Inventor's Signature						·	Date					
Residence: City	Union City	State	CA Country US Citizenship US									
Post Office Address	30723 Churchill S	30723 Churchill Street, Union City, CA 94587										
Post Office Address												
City	Union City	State	C/	١	ZIP	94587	Country		US			
Name of Additional	Joint Inventor, if any:											
Given Name (first and middle (if any) Family Name or Surname												
	Harish Ravivarapu											
Inventor's Signature	KHAN	\\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \					Date	3	124/04			
City	Pleasanton ()	State	C.A	١	Country	US	Citizensi	nip	IN			
Post Office Address	, 1059-Serpentine-I	Lane, Pleas	enton,	GA-8	4566 46	05 DINU	3A 57.,(J~,	ON CITY			
Post Office Address	·						CA	745	87			
City	Pleasanton	State	CA	\	ZIP	94566	Country		us			
Name of Additional	Joint Inventor,	if any:			A petition i	nas been filed	for this unsig	ned	inventor			
Given Name	(first and middle (if	any)				Family Nai	ne or Surnan	10				
Inventor's Signature							Date					
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DECLARATION FOR UTILITY OR	Attorney Docket Number	12636-304.201 APR 2	2004
DESIGN PATENT APPLICATION	First Named Inventor COMPLE	Howard Sands	T 2007 &
(37 CFR 1.63)	Application Number	10/698,983	MARK
Declaration Declaration Submitted OR Submitted after Initial	Filing Date	October 31, 2003	1
with Initial OR Filing (surcharge Filing (37 CFR 1.16(e))	Group Art Unit	1614	
required)	Examiner Name	Unassigned	
	one name is listed below) or an or	SPECIFIC	

(Title of the Invention) the specification of which is attached hereto OR 10/31/2003 Ø was filed on (MM/DD/YYYY) as United States Application Number or PCT International Application Number 10/698,983 and was amended on (MM/DD/YYYY) (if applicable). I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or [365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed. Prior Foreign Application Foreign Filing Date Priority Certified Copy Attached? Country Number(s) (MM/DD/YYYY) **Not Claimed** YES ☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto: I hereby claim the benefit under 35 U.S.C. 119(h) of any United States provisional application(s) listed below. Application Number(s) Filing Date (MM/DD/YYYY) 60/423,179 10/31/2002 Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.

(Page 1 of 2)

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Name	Shirle	y Chen, Ph.D.											
Address	Wilso	n Sonsini Goodri	ch & Ro	sati									
Address	650 P	age Mill Road											·
City	Palo /	Alto					State	С	CA	ZIP	94304		
Country	U.S.		Tele	phone	650	-493-9	9300			Fax	650-493	-6811	
I hereby declare the believed to be true; punishable by fine application or any p	and fui	rther that these standard some standard that the standard some standard sta	atement	ts were	made wit	h the	knowled	ge tha	it willful	false st	atements a	and the	like so made are
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Giver	Name	(first and middl	e (if an	у)					Fam	ily Nam	e or Surna	ame	
		Howard								Sa	nds		_
Inventor's Signat	ure										Date		
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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1

Name of Additional	Joint Inventor,	if any:		☐ A petition has been filed for this unsigned inventor								
Given Name	(first and middle (if	f any)		Family Name or Surname								
	Sanjeev	and	XL	Redkar								
Inventor's Signature		SART	H	<u>Z</u>				Date	3/2	explosion.		
Residence: City	Union City	State	c)	ng in								
Post Office Address	30723 Churchill S	rchill Street, Union City, CA 94587										
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City	Union City	State	CA	4	ZIP		Country		US			
Name of Additional	I Joint Inventor, if any: A petition has been filed for this unsigned inventor								inventor			
Given Name					Family Name	or Surnan	ne					
		Ravivarapu										
Inventor's Signature	Date											
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Post Office Address	1059 Serpentine I	Lane, Pleas	anton,	CA 9	4566							
Post Office Address												
City	Pleasanton	State	CA	4	ZIP		94566	Country		US		
Name of Additional	Joint Inventor,	if any:	,		A petition h	nas	s been filed for	this unsig	ned i	inventor		
Given Name	(first and middle (if	any)					Family Name	or Surnam	10			
Inventor's Signature	•							Date				
City		State		_	Country			Citizensh	ip			
Post Office Address												
Post Office Address												
City		State			ZIP			Country				

Practitioner's Docket No.: 12636-304.201

APR 2 8 2004

POWER OF ATTO

UNDER 37 C.F.

POWER OF ATTORNEY BY ASSIGNEE TO EXCLUSION OF INVENTOR UNDER 37 C.F.R. § 3.71 WITH REVOCATION OF PRIOR POWERS

	U	LS.	Patent	No.
	_		I ULCIIL	110.

U.S. application no. 10/698,983, filed on October 31, 2003

hereby appoints the following attorneys of Wilson Sonsini Goodrich & Rosati:

Attorney Name	Reg. No.	Attorney Name	Reg. No.
Vern Norviel	32,483	Scott Morris	43,818
James Shay	32,062	Maya Skubatch	52,505
Michael Barclay	32,553	Nicole Fortuné	52,905
Michael Murphy	37,404	Shirley Chen	44,608
U.P. Peter Eng	39,666	Julie Holloway	44,769
George Willman	41,378	Kevin Sin	43,110
Anie Roche	50,512	Michael Panepucci	37,203
Benjamin Glenn	44,173	Jeffrey P. Bernhardt	54,997

and all Wilson Sonsini Goodrich & Rosati attorneys registered to practice before the United States Patent and Trademark Office, to prosecute this application and transact all business in the United States Patent and Trademark Office in connection therewith and hereby revokes all prior powers of attorney; said appointment to be to the exclusion of the inventors and the inventors' attorneys in accordance with the provisions of 37 C.F.R. § 3.71.

The following evidentiary documents establish a chain of title from the original owner to the Assignee:

\boxtimes	a copy of an Assignment attached hereto, which Assignment the Application has been (or is herewith) forwarded to the Patent and Trademark Office for recording; or				
	the Assignment recorded on at reel, frames				

Pursuant to 37 C.F.R. § 3.73(b) the undersigned Assignee hereby states that evidentiary documents have been reviewed and hereby certifies that, to the best of ASSIGNEE's knowledge and belief, title is in the identified ASSIGNEE.

Direct all correspondence and telephone calls to:

Name	Shirley Chen, Ph.D.					
Address	Wilson Sonsini Goodrich and Rosati					
Address	650 Page Mill Road					
City	Palo Alto	State	CA	Zip	94304	Customer No.: 021971
Country	USA	Telephone	(650) 493-9300	Fax	(650) 493-6811	

ASSIGNEE: SUPERGEN, INC.

Name:	EDWARD	L JACOBS
	Print	
		wh
	Signature	
Title:	<u> </u>	
Date: _	4/26/00	-/
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